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**Facsimile**

FOR IMMEDIATE DELIVERY

| To       | Firm Name | Phone | Fax            |
|----------|-----------|-------|----------------|
| David Wu |           |       | (703) 872-9396 |
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|          |           |       |                |
|          |           |       |                |

From: Kenneth Solomon

Date: 05/17/2002

Message:

Atty/Client/Matter No.: 3080/66230/04923

Total Number of Pages, including this page: 14

If you do not receive all of the pages, please call 314-552-6297 as soon as possible.

Thank you,

Fax Department - Operator: 

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**Confidentiality Note**

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## PATENT

Docket N . 16230-4923

## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this June 6, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL470435773US addressed to: Box Patent Application, Assistant Commissioner of Patents, Washington, D.C. 20231.

Cathy Marino  
(Type name of person mailing paper)

Cathy Marino  
(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).

Box Patent Application  
Assistant Commissioner of Patents  
Washington, D.C. 20231

## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Luthra, Ajay Kumar; Sandhu, Shivpal Singh

For: NON-THROMBOGENIC AND ANTI-THROMBOGENIC POLYMERS

Enclosed are:

1. Benefit of Prior U.S. Application (35 USC 120)

X The new application being transmitted claims the benefit of a prior U.S. application and enclosed is added page for new application transmittal where benefit of a prior U.S. application claimed.

2. The Papers Required For Filing Under 37 CFR 1.53:

|           |   |
|-----------|---|
| <u>26</u> | Pages of Specification  |
| <u>1</u>  | Pages of Abstract   |
| <u>4</u>  | Pages of Claims and <u>7</u> pages of a Preliminary Amendment dated October 29, 1998 with Claims 22-46, and Abstract. |
| <u>0</u>  | Sheets of Drawing   |

X formal      informal

In addition to the above papers there is also attached:

|             |   |
|-------------|---|
| <u>1</u>    | Pages of Amendments   |
| <u>X</u>    | Return Receipt Postcard   |
| <u>    </u> | Information Disclosure Statement with <u>    </u> copies of references. |

**3. Declaration or oath**

☒ Enclosed 3 pages  
Newly executed (original or copy)  
☒ Copy from a prior application (continuation/divisional with page 5 of 5 completed)  
Deletion of Inventor(s) (signed statement attached deleting inventor(s) of prior application)  
☐ Not enclosed

**4. Inventorship Statement**

The inventorship for all the claims in this application are:

☒ the same

OR

☐ are not the same and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

**5. Language**

☒ English ☐ Non-English

A verified English translation of the

[check applicable item(s)]

☐ specification and claims

☐ declaration

is attached.

**6. Assignment**

☐ An assignment of the invention to  
☐ is filed under separate cover sheet  
☐ was filed in the prior application  
☐ will follow

**7. Certified Copy**

(Country) \_\_\_\_\_ (Application No.) \_\_\_\_\_ (Filed) \_\_\_\_\_

from which priority is claimed

☐ is attached

☐ will follow

## 8. Fee Calculation

## CLAIMS AS FILED

|  | Number<br>Filed | Provided with<br>Basic Fee | Number<br>Extra | Rate       | Basic Fee<br>\$690 |
|--|-----------------|----------------------------|-----------------|------------|--------------------|
| Total Claims                           | 2               | 20                         | 0               | X \$18.00  | \$ .00             |
| Independent Claims                     | 1               | 3                          | 0               | X \$78.00  | \$ .00             |
| Multiple Dependent<br>Claim(s), if any | 0               | 0                          | 0               | X \$260.00 | \$ .00             |

X Amendment canceling Claims 1-42 and 45-106 prior to the filing of the fees is enclosed.

Amendment deleting multiple dependencies enclosed

Fee for extra claims is not being paid at this time

Filing Fee Calculation

\$ 690.00

## 9. Small Entity Statement

verified statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is attached.

Filing Fee Calculation (50% of above)

\$ \_\_\_\_\_

## 10. Fee Payment Being Made At This Time

X Enclosed

X basic filing fee

\$ 690.00

Total fees enclosed

\$ 690.00

## 11. Method of Payment of Fees

X check in the amount of \$ 690.00

**12. Authorization to Charge Additional Fees**

X The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 18-1829;

X 37 CFR 1.16 (filing fees and presentation of extra claims)

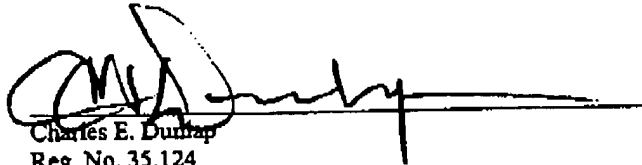
X 37 CFR 1.17 (application processing fees)

    37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR

1.311(b).

**13. Instructions As To Overpayment**

X credit Account No. 18-1829

**14. Correspondence Address**

Charles E. Dunlap  
Reg. No. 35,124  
HOWELL & HAFERKAMP, L.C.  
7733 Forsyth Boulevard  
Suite 1400  
St. Louis, Missouri 63105  
(314) 727-5188

**ADDED PAGE FOR NEW APPLICATION WHERE BENEFIT  
OF A PRIOR U.S. APPLICATION CLAIMED**

**15. Benefits of Prior U.S. Application**

This application is a

- ☐ continuation  
☐ continuation-in-part  
☒ divisional

of prior U.S. application Serial No. 09/171,948 filed October 29, 1998 which claims priority to

International Application PCT/GB97/01173 filed April 30, 1997

- ☒ Incorporation by Reference  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under item 3., is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

**16. Maintenance of Copendency of Prior Application**

- ☐ A petition, fee and response has been filed to extend the term in the pending prior application until

**17. Conditional Petition for Extension of Time in Parent Application**

- ☐ A conditional petition for extension of time is being filed in the pending parent application.

**18. Relate Back - 35 U.S.C. 120**

- ☒ Amend the specification by inserting before the first line the sentence:

This is a

- ☐ continuation  
☐ continuation-in-part  
☒ divisional  
☐ provisional

of copending application

- ☒ Serial number 09/171,948 ; filed on October 29, 1998, which was a § 371 of  
☒ PCT International Application PCT/GB97/01173 ; filed on April 30, 1997.

**19. Abandonment of Prior Application (If applicable)**

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

Serial No. 09/ 7,875 Reg. No. 7/6230-4923  
 Title/Trademark Non-Thrombogenic and Anti-Thrombogenic Polymers  
 In the Matter of Luthon et al. (Uganda)

The following has been received there on the date stamped hereon:

|  |  |
|--|--|
| <input type="checkbox"/> Affidavit                                       | <input type="checkbox"/> Notice of Appeal                |
| <input type="checkbox"/> Affidavit under Section 8 & 15                  | <input type="checkbox"/> Declaration & Power of Attorney |
| <input checked="" type="checkbox"/> Amendment <i>Preliminary</i>         | <input type="checkbox"/> Petition                        |
| <input type="checkbox"/> Assignment                                      | <input type="checkbox"/> IDS                             |
| <input type="checkbox"/> Maintenance Fee Transmittal                     | <input type="checkbox"/> Statement of Use                |
| <input type="checkbox"/> Check   | <input type="checkbox"/> Renewal Application             |
| <input type="checkbox"/> Issue Fee Transmittal                           | <input type="checkbox"/> Trademark Application           |
| <input type="checkbox"/> Drawings <u>Sheet(s)</u> (Formal; Informal)     | <input type="checkbox"/> Patent Application              |
| <input type="checkbox"/> Notice of Opposition                            | <input type="checkbox"/> Response                        |
| <input type="checkbox"/> Letter  | <input type="checkbox"/> Specimens                       |
| <input type="checkbox"/> Motion  | <input type="checkbox"/> Small Entity                    |
| <input checked="" type="checkbox"/> Transmittal <i>(dup) o/j 9/15/00</i> |  |



Docket Number: 16230-4923**PATENT****CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited in the United States Postal Service as first class mail in an envelope addressed to BOX NON FEE AMENDMENT, Assistant Commissioner for Patents, Washington, D.C. 20231 on

September 15, 2000  
Kenneth Solomon

Reg. No. 31,427

In re Application of: Luthra et al.

Serial No.: 09/587,875

Filed: June 6, 2000

For: NON-THROMBOGENIC AND ANTI-  
THROMBOGENIC POLYMERS

Examiner: Unknown

Group Art Unit Unknown

BOX NON FEE AMENDMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

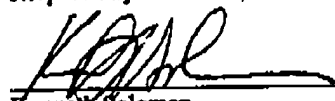
**TRANSMITTAL LETTER**

Transmitted herewith is a Preliminary Amendment in the above-identified application.

|                                  | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT | HIGHEST NO.<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA | RATE    | ADDED<br>FEE |
|----------------------------------|---|---------------------------------------|------------------|---------|--------------|
| TOTAL                            | 8   | 20                                    | 0                | \$18.00 | \$ .00       |
| INDEP.                           | 1   | 3                                     | 0                | \$78.00 | \$ .00       |
| TOTAL FEES FOR ADDITIONAL CLAIMS |   |                                       |                  |         | \$ .00       |

- ☒ A petition for a month extension of time is enclosed.
- ☒ If an extension or an additional extension of time is required, but is not enclosed, please consider this a conditional petition therefor and charge Deposit Account 18-1829 accordingly.
- ☒ A check in the amount of is attached.
- ☒ No additional fee is required.
- ☒ Please charge any deficiencies or credit any overpayment to Deposit Account 18-1829. A duplicate copy of this sheet is attached.

Respectfully submitted,



Kenneth Solomon

Reg. No. 31,427

Howell &amp; Haferkamp, L.C.

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St. Louis, Missouri 63105

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September 15, 2000